

Post-Review Process for an S10 Grant

November 14, 2024
1-2 p.m.



SCAN ME

SIG
Mid-Range

BIG
Basic

HEI
High-End

SHARED STATE-OF-THE-ART INSTRUMENTS

S10
Instrumentation
Programs

Introduction to Our Team

ORIP/DCI

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ORIP – Office of Research Infrastructure Programs

ORIP/DCI – Division of Construction and Instruments

OGM – Office of Grants Management

Housekeeping Issues

- Today's webinar is being recorded, the recording is for internal and note taking use only.
- We encourage you to submit your questions throughout the webinar using Q&A option.
- For technical difficulties, please email Danielle at djohnikin@scgcorp.com.

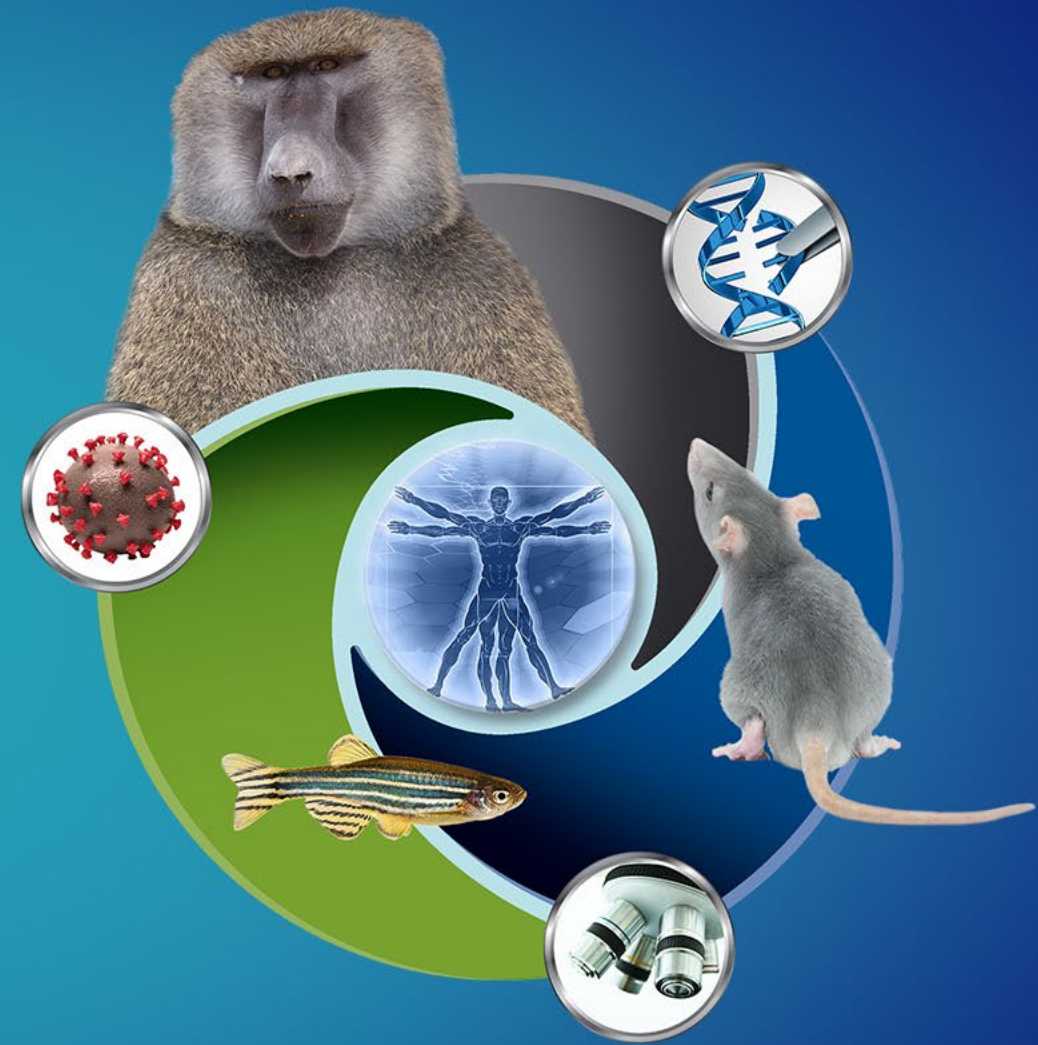
POST-REVIEW PROCESS FOR AN S10 GRANT

Division of Construction and Instruments

OD/DPCPSI/ORIP

Monika Aggarwal, Ph.D.

November 14th, 2024



Next Steps After Grant Review??

- Review of the Grants and Release of the Summary Statement/ Impact Score
- Carefully Reading the Summary Statement and Addressing the Reviewer's Critiques
- Re-reading the Summary Statement
- For Queries, reach out to your Program Officer
- Depending upon the Review and Impact Score, the Further Potential Actions will be different

Overview of the Webinar

- **S10 Grant Review and Selection Process**
- **Timeline to receive request for Just-In-Time (JIT)**
- **Key Elements in JIT and submitting in the S10 reporting system**
- **Prior Approval Requirements**
- **Appeals and Grievances**
- **Q&As – All**

S10 Grant Review and Selection Process

□ Two level review process for an S10 grant

- **Initial peer review**

- Evaluation by Scientific Review Group(s) convened by the Center of Scientific Review (CSR)
- Summary statement
- Impact score

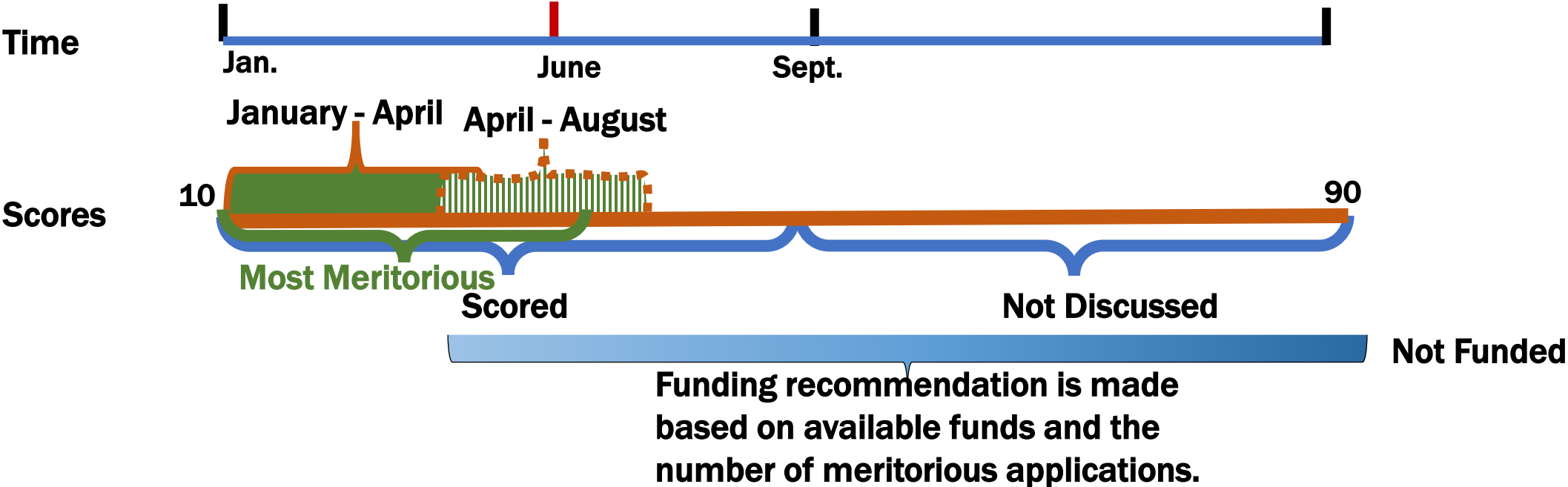
- **Review by Council of Councils**

□ Factors considered for making funding decisions

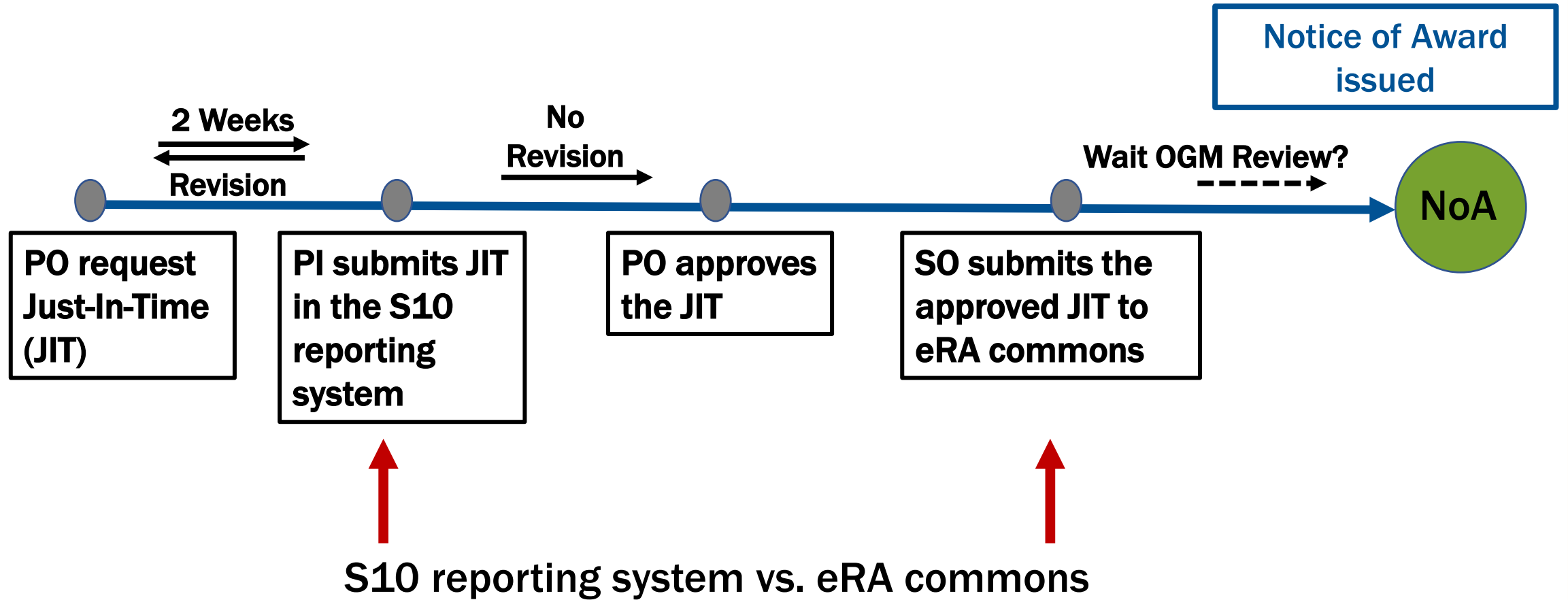
- Scientific and technical merit of the grant.
- Availability of funds.
- Relevance of the proposed project to program priorities.
- Types of supported instruments and geographical distribution of awards.

JIT Request Timeline

Key date: June 2, 2025, for (re)submission; Decision for (re)submission needs be made between April and May
Resubmission is recommended if not receive JIT request by May 1, 2025



Just-In-Time Information Submission Process



Submit JIT via S10 Reporting System

U.S. Department of Health & Human Services | National Institutes of Health | Division of Program Coordination, Planning, and Strategic Initiatives (DPCPSI)

NIH National Institutes of Health
Office of Research Infrastructure Programs

Grant Detail Help Welcome, PI Three Logout

Grant Number:

Application ID:

Application Title:

Principal Investigator's Last Name, First Name, Middle Initial: CA

Principal Investigator's Email Address:

Principal Investigator's Phone Number:

Principal Investigator's Organization:

Signing Official's Last Name, First Name, Middle Initial: ie

Signing Official's Email:

Program Officer's Name (Last Name Only): Li

Generic Name of Instrument: W

Model:

Manufacturer:

Date of Installation:

Application Requested Budget:

Amount of Award:

Project Start Date: 03/01/2024

Project End Date: 02/28/2025

Designee Last Name, First Name:

Designee's Email:

Reports	Due Date	Date Submitted	Date Approved	Status	Reporting Period Start Date	Reporting Period End Date	SO Approval Date	Latest Report (PDF format)
JIT	01/01/2024	12/21/2023	01/04/2024	Approved				Get File
FRPPR	03/01/2025				03/01/2024	02/28/2025		Get File
AUR1	03/02/2026				03/01/2025	03/01/2026		Get File
AUR2	03/03/2027				03/02/2026	03/02/2027		Get File
AUR3	03/04/2028				03/03/2027	03/03/2028		Get File
AUR4	03/04/2029				03/03/2028	03/03/2029		Get File

U.S. Department of Health & Human Services | National Institutes of Health | Division of Program Coordination, Planning, and Strategic Initiatives (DPCPSI)

NIH National Institutes of Health
Office of Research Infrastructure Programs

Just In Time Report (JIT) Help Welcome, PI Two Logout

* Required Field

Grant Number:

Application Title:

Principal Investigator's Name, First Name, Middle Initial:

Principal Investigator's Address:

Principal Investigator's Phone Number:

Principal Investigator's Organization:

Signing Official's Last Name, First Name, Middle Initial: 3

Signing Official's Email:

Program Officer's Last Name: Chen

Generic Name of the Instrument*:

Model:

Manufacturer: GE

Application Requested Budget: \$2,000,000.00

Additional funding towards the purchase: Yes No

Source*: Amount: \$5,500,000 (01/08/2021 01:08:05 PM)

Total Funds: \$7,500,000 (must equal quote)

Changes in Institutional Commitment? Yes No

Are you requesting to purchase a different instrument or model or different accessories than described in the application?
 Yes No If yes, the following documents are required

Do you already have the instrument under a lease agreement? Yes No

Attach safety approval(s), as applicable:

• Valid for at least 45 days from latest JIT submission date.
• One Year of manufacturer warranty



Advisory Committee on an S10 Grant

Members of Advisory Committee*				
First Name	Last Name	Title	Affiliation	Role

Total number of Advisor Members: 10

Advisory Committee (AC) composition:

- Institutional Official representing financial commitment
- Non-user of the instrument
- Major and Other users
- PI cannot be a voting member and/or the Chair of AC

AC responsibilities:

- Maximum utilization and priorities
- Arbitration of disputes and other issues
- Financial plan, cost structure
- Relocation/change of the instrument
- Change of PI

- **The PI and the AC must convene annual meetings and prepare annual reports on the instrument status.**

Key Elements in JIT

- The purpose of the S10 instrument.
- Changes to the instrument, institutional commitment, administration, AUT.
- Address reviewer's critiques in the summary statement.
- List new projects not included in the S10 application.
- Strategies to acknowledge S10 award in publications.
- If leasing, provide any additional leasing information, including justification that the instrument remains current and state-of-the art, fair market value.
- Other information for Program Staff.

PI's Other Support

OMB No. 0925-0001 and 0925-0002 (Rev. 10/2021 Approved Through 01/31/2026)

PHS OTHER SUPPORT For All Application Types – DO NOT SUBMIT UNLESS REQUESTED

There is no "form page" for reporting Other Support. Information on Other Support should be provided in the format shown below.

*Name of Individual:
Commons ID:

Other Support – Project/Proposal

*Title:

*Major Goals:

*Status of Support:

Project Number:

Name of PD/PI:

*Source of Support:

*Primary Place of Performance:

Project/Proposal Start and End Date: (MM/YYYY) (if available):

* Total Award Amount (including Indirect Costs):

* Person Months (Calendar/Academic/Summer) per budget period.

Year (YYYY)	Person Months (##.##)
1. [enter year 1]	
2. [enter year 2]	
3. [enter year 3]	
4. [enter year 4]	
5. [enter year 5]	

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Other Support Format Page

OMB No. 0925-0001 and 0925-0002 (Rev. 10/2021 Approved Through 01/31/2026)

Name of Individual:
Commons ID:

IN-KIND

*Summary of In-Kind Contribution:

*Status of Support:

*Primary Place of Performance:

Project/Proposal Start and End Date (MM/YYYY) (if available):

*Person Months (Calendar/Academic/Summer) per budget period

Year (YYYY)	Person Months (##.##)
1. [enter year 1]	
2. [enter year 2]	
3. [enter year 3]	
4. [enter year 4]	
5. [enter year 5]	

*Estimated Dollar Value of In-Kind Information:

*Overlap (summarized for each individual):

I, PD/PI or other senior/key personnel, certify that the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

*Signature: _____

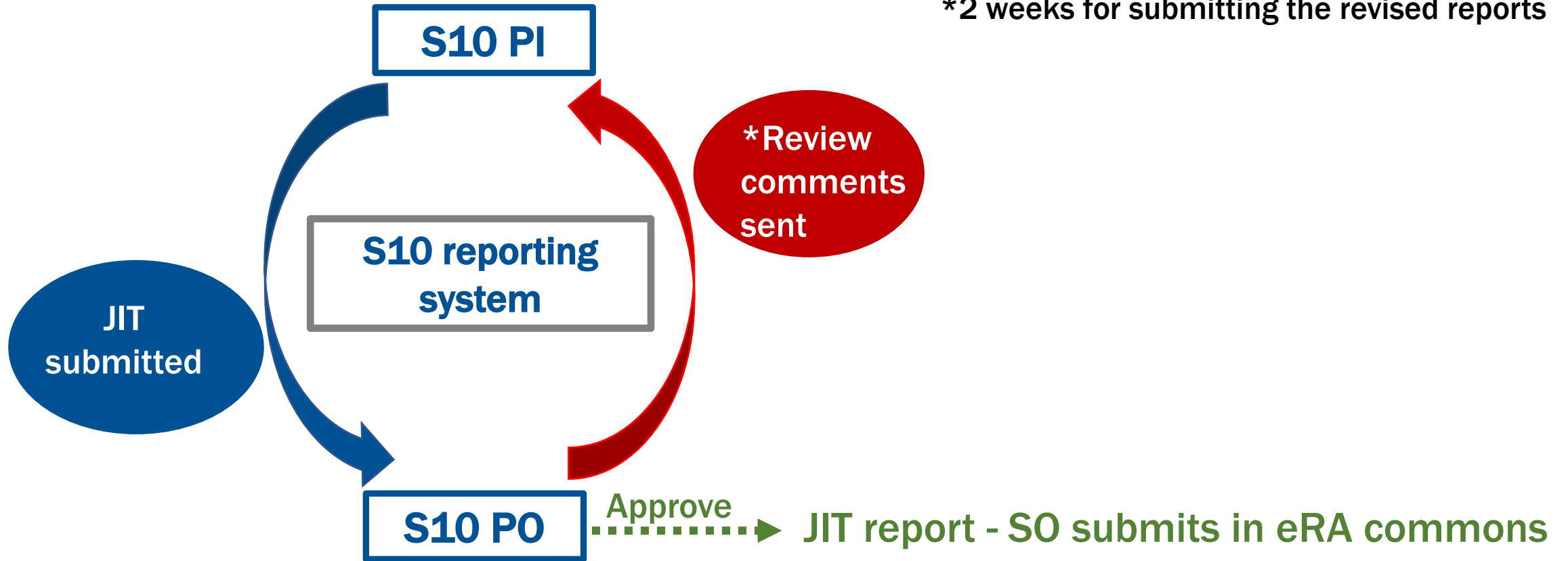
Date: _____

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Other Support Format Page

Approval Process for JIT

*2 weeks for submitting the revised reports



Prior Approval Requirements

- **Change of Instrument**

- Best time of doing so is during JIT
- Institutional support letter for the new instrument
- Letter from the AC Chair on behalf of other AC members and users supporting new instrument
- PI's justification for the new instrument, list of key features needed by each project, comparison of key features between original and new instrument

- **Change of PI**

- Institutional support letter for the new PI
- Letter from the AC Chair on behalf of other AC members and users supporting new PI
- New PI's Bio sketch and Other Support

➤ **Consult with your PO.**

Appeals and Grievances

Appeal: A letter that comes with concurrence from the Authorized Organization Representative (AOR) and meets the below criteria

- 1) Received after issuance of the summary statement and up to 30 calendar days after the second level of peer review.
- 2) Describes one of the following substantial perceived flaws in the review process:
 - Evidence of bias on the part of one or more peer reviewers.
 - Conflict of interest on the part of one or more non-Federal peer reviewers.
 - Lack of appropriate expertise within the study section.
 - Factual error(s) made by one or more reviewers that could have altered the outcome of review substantially.

Grievance: A letter that does not meet these criteria and does not include AOR's concurrence.

➤ **Consult with your PO.**

Frequently Asked Questions

- What is the payline for FY2024?
- How do we know whether the grant will be funded or not?
- Is it possible to have additional examples of successful S10 applications for imaging equipment?

<https://orip.nih.gov/division-comparative-medicine/search-awarded-grants>

- Can we renegotiate quotes if funds are awarded? Might we go with a different vendor and instrument?



Thank you for your attention!