



Division of Construction and Instruments
OD/DPCPSI/ORIP
February 18, 2022



### Introduction to our Panelists

- James Anderson, MD, PhD
- Franziska Grieder, DVM, PhD
- Karen Duca, PhD, MBA
- Guanghu (Jeff) Wang, PhD, MBA
- Ashley Barnes, PhD



# **Construction Program**

- Construction is a unique mechanism at NIH, managed by OD/DPCPSI
  - Requires its own yearly congressional appropriation
  - NIH has received BRF appropriations in the amount of \$50 million for the past three fiscal years
- Program supports facilities that drive transformative, cutting-edge biomedical research
  - Projects should have significant institutional impact, bringing the research to a new level
- Construction is a highly competitive program
  - BRF success rate over the past three years: 7-9%



### Overview

- ORIP in DPCPSI/OD manages NIH construction awards
  - FOA development, informational webinars, oversight of applications and awarded grants
- CSR is responsible for application review
- High demand program, >100 applications last year
- Currently active funding opportunities
  - PAR-22-088
  - PAR-21-363 (limited competition)
- Agenda for today
  - Short presentations on the two PARs
  - Questions and answers





# Introduction to the Programs and Presenters

- PAR-22-088 (BRF)
  - Presented by Karen Duca, PhD, MBA
- PAR-21-363 (NHP)
  - Presented by Guanghu (Jeff) Wang, PhD, MBA
- Questions and Answers
  - Presented by Ashley Barnes, PhD

#### What we'll discuss under PAR-22-088

- Goal and target
- Considerations before (re)applying
  - Research intensive vs. IEE
  - A0 vs. A1
- Structure of the application
  - PI/PD
  - Budget
- Review and funding criteria
- Timeline
- Some good practices





### Goals of BRF

- GOAL: Modernize existing or construct new biomedical research facilities
  - Facility must be able to operate for 20 years post beneficial occupancy

- TARGET: Shared-use facilities, e.g., core labs, animal facilities, biorepositories, imaging centers, HPC centers, clinical research centers, cGMP installations, etc.
  - No preferred type of facility, all shared BRFs are eligible
  - Facility should enable cutting-edge biomedical research
  - BRF is not intended for routine upgrading of outdated spaces
    - New capacities and next-level science are expected



# **Institutional Eligibility**

- Who may apply?
  - Any US domestic academic/research institute
  - Only ONE application per organization
    - Defined as a "legal entity" by DUNS number or Unique Entity Identifier (UEI)
  - NEW: Only one active BRF C06 allowed at a time
    - PAR-19-128, PAR-20-086, or PAR-21-139 (last three years)
- Research intensive institutions vs. institutions of emerging excellence (IEEs)
  - 25% of total funds set aside for IEEs
  - NEW: IEEs must self-identify and justify their status as an IEE
    - Failure to self-identify puts you in the research intensive category



#### What constitutes an IEE?

- Leverage their research abilities to address a problem, concern, or unmet need in the US
- Are in locales with deficits in research resources that adversely affect the health of the local population
- Are in locales with a significant number of underprivileged or underrepresented populations
- Work to remedy health disparities, serving as centers for dissemination of health information, workforce development, and research advancement
  - Deficits in physical infrastructure hamper these efforts
- Have relatively low levels of NIH funding



#### Other Considerations for IEEs

- No fixed cut-off on total NIH (or other) funding
  - Some research intensive (Carnegie R1) organizations may qualify
- Location in an IDeA state is not sufficient for IEE status
- IEE status justification should include the domain of excellence targeted by the IEE
- IEEs may define their missions differently than research intensives
  - Advanced biomedical research required
  - Institutional and regional/national impact required
  - Education and workforce training permitted, but cannot be the exclusive focus of the facility



#### A0 vs. A1?

- NEW: Unsuccessful prior applications may be resubmitted
  - Within 37 months of unsuccessful submission
  - Substantially similar application
    - Minor changes to the design in response to critique or small changes to the scientific scope
  - Major changes to the proposed facility A0
- A1 resubmissions requires a one-page response to reviewer critique
  - Place in Other Attachments
- My application was unsuccessful two years running, can I resubmit my application from two years ago rather than last year?
  - Yes, but any resubmission allows discussion of all prior applications
  - A0 does not permit ANY discussion of previous submissions



### Structure of the Application

- Standard SF424 (R&R) Application Guide requirements apply with the following changes:
  - Overview in lieu of Specific Aims (1 page)
  - If A1, response to reviewer critique (1 page) SEE: NOT-OD-22-078
    - Place as a single file entitled "Introduction" under Other Attachments
  - No Research Strategy
    - Scientific (and IEE) Justification and Facility Development (12 pages)
  - No vertebrate animals, human subjects, or select agents
    - Biohazards should be addressed if relevant



# PI/PD, Key Personnel, and Users

### Single PI/PD

- An administrator or senior scientist with a leadership role in the institution and adequate standing to direct the project and bring it to completion
- NIH funding is NOT required of the PI/PD
- Other key personnel and users
  - Construction project manager with engineering/architect expertise (required)
  - Facilities manager or other subject matter experts required for design and execution of the project (optional)
  - No minimum number of facility users
    - No NIH funding required of users



# Budget

- Individual awards: \$3 \$8 million
- Projects must be completed within 5 years
- Budget can support design, construction, MEP infrastructure, and some fixed, support equipment
  - Fixed equipment means that it must be built into or directly connected to the infrastructure of the facility, e.g., fume hoods, autoclaves
  - Fixed does not mean large, immobile, or heavy equipment
  - No scientific equipment (data generating) allowed
  - Reach out to program to make sure that your equipment request is supported
- Contingency fee allowed (15%)
- No salary support unrelated to construction
- No overhead



### **Required Attachments**

- Biosketches for the PI and Project Manager
- Line drawings of the facility on standard, letter-size paper
  - No page limit, color permitted
- List of requested fixed equipment
- Budget justification
  - Must include vendor quotes for fixed equipment
- Letter from a high-ranking institutional official indicating financial support for the facility
- Verification of title to property or 20+ year lease
- Project timeline
- Introduction (if resubmitting)



### **Optional Attachments**

- Table of up to 12 relevant active grants
  - Typo in Table 3 instructions (NOT-OD-22-072)
  - FY 2020 should read FY 2021
    - Select the 12 most relevant active grants for inclusion
- Maximum of seven (NEW) support letters

#### **CO6 Review Criteria**

- Scientific merit and organization of the total program and its component parts
- Administrative and leadership capabilities of the applicant's officers and staff
- Anticipated effect of the project on other relevant research programs and facilities in the area/nation
- Need for the project or additional space
- Project design

All review criteria are important and carry equal weight.



# Nine CO6 Funding Considerations

- Impact score
- NIH and program priorities
- Scientific merit of the research activities
- Scientific/professional standing of the applicant and team
- Availability of other facilities/personnel to support activities and/or adequate biocontainment (where applicable)
- Need for the facility and its effect on similar facilities in the locale
- Project cost and design
- Geographical distribution
- Availability of funds



### Timeline

Application due date:

March 25, 2022 (new)

Scientific merit review:

June 2022

Advisory council review:

August 2022

Award date:

September 2022





#### **General Good Practices**

- Thoroughly understand the FOA by careful reading
- Reach out to program to verify that your equipment request is supported
- Make the reviewers' task easier
  - With a clear writing style
  - With good organization of your application
- Think like a reviewer while preparing your application
  - Make everything explicit
  - Ask yourself whether your most important points have come across clearly



Limited Competition: Development and Renovation of Housing, Breeding, and Research Spaces for Existing NIH-supported NHP Colonies (Non-human Primate)







#### Similar aspects as the BRF C06:

- Structure of the application
  - PI/PD
  - Budget: \$3 \$8 million
- Timeline (except due date stays March 15, 2022)
- Funding considerations

#### Focus on the unique part of the NHP FOA

- Goal
- Eligibility and project scope
- Topics of special interest
- Review criteria



#### **GOAL:**

Support infrastructure construction, expansion, and modernization to meet the urgent need of NHPs:

- To enable and enhance transformative cutting-edge biomedical and biobehavioral research using NHPs.
- For the U.S. to be able to respond to emerging infectious disease threats, continue to identify treatment approaches, and develop new medical products to improve health across medical fields

#### **Project scope:**

- Facilities for NHP housing, breeding, and research
- Species of NHP not restricted, but must be justified by existing or projected needs



### **Eligibilities:**

- Limited competition: Institution must house existing NHP colony that is directly supported by current NIH awards as a research service or breeding center, e.g., NPRCs and other NHP resources
- Only ONE application per organization (Defined as a "legal entity" by DUNS number or Unique Entity Identifier (UEI))
- New submission (A0) only

#### **Topics of special interest:**

- Specific pathogen free (SPF) colonies of Indianorigin rhesus macaques
- Existing breeding colonies of NHPs to maintain genetic diversity
- Infrastructure to support larger colonies that promote social interactions and animal welfare

### **Required Attachments**

- Biosketches for the PI and Project Manager
- Line drawings of the facility
- List of requested fixed equipment
- List the size (dimensions) and square footage of each component
- List of active NHP-related research awards that drive the need for this project
- Budget justification
  - Must include vendor quotes for fixed equipment
- Letter from a high-ranking institutional official indicating financial support for the facility
- Verification of title to property or 20+ year lease
- Project timeline



# **Optional Attachments**

Optional up to five support letters



#### **Review criteria:**

- <u>Scientific Merit & Organization</u>, including justification of choice of NHP species
- Needs of NHPs
- Anticipated <u>Impact</u> locally and nationwide
- Management and administration of construction and space
- Technical design details feasibility

#### **General Good Practices**

- Thoroughly understand the FOA
- Write with clear style, good organization, and consistency
- Think like a reviewer while preparing your application
  - Make everything explicit
  - Ask yourself whether your most important points have come across clearly
- Reach out to program if you have questions
- Please don't wait until the very last minute to upload







### Questions: Facilities

- Is a project to remodel two core facilities located in two separate buildings acceptable?
- Is it allowable to request funding for shell-space fit out of a specialized research laboratory that is part of a larger fit out project already underway?
- Is any particular type of facility considered high program priority?

# Questions: Budget

- If I am awarded a grant, am I allowed to charge the amount I spent on design for my application to the grant?
- Will there be options for additional supplementary funding if costs rise due to covid supply chain issues or inflation?
- Will my chances of getting funded be better if my budget is smaller?
- Is cost sharing required?
- Does voluntary cost sharing help my application?

### **Questions: Administrative**

- Will an Introduction to the Application be allowed for resubmissions and, if so, how many pages and where should it be incorporated in the proposal?
- If I am an A1 applicant, will reviewers have the prior summary statement available?
- What should I do if I have questions about or problems in uploading in the ASSIST system?

#### **Questions: Administrative**

 Could you please clarify whether Table 3 should only include the five columns listed, or whether it should also include the infrastructure needs of the research program they are a part of?

 Am I correct in understanding that for this C06 application, any nonscientific team members (with roles such as Other Professional or Consultant) will also have to have obtained a Commons ID in order to be listed as senior/key, or the system would generate an error that would prevent submission?



### **Questions: Administrative**

- How should line drawings be prepared for the application?
- Will this program be re-issued next year?







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