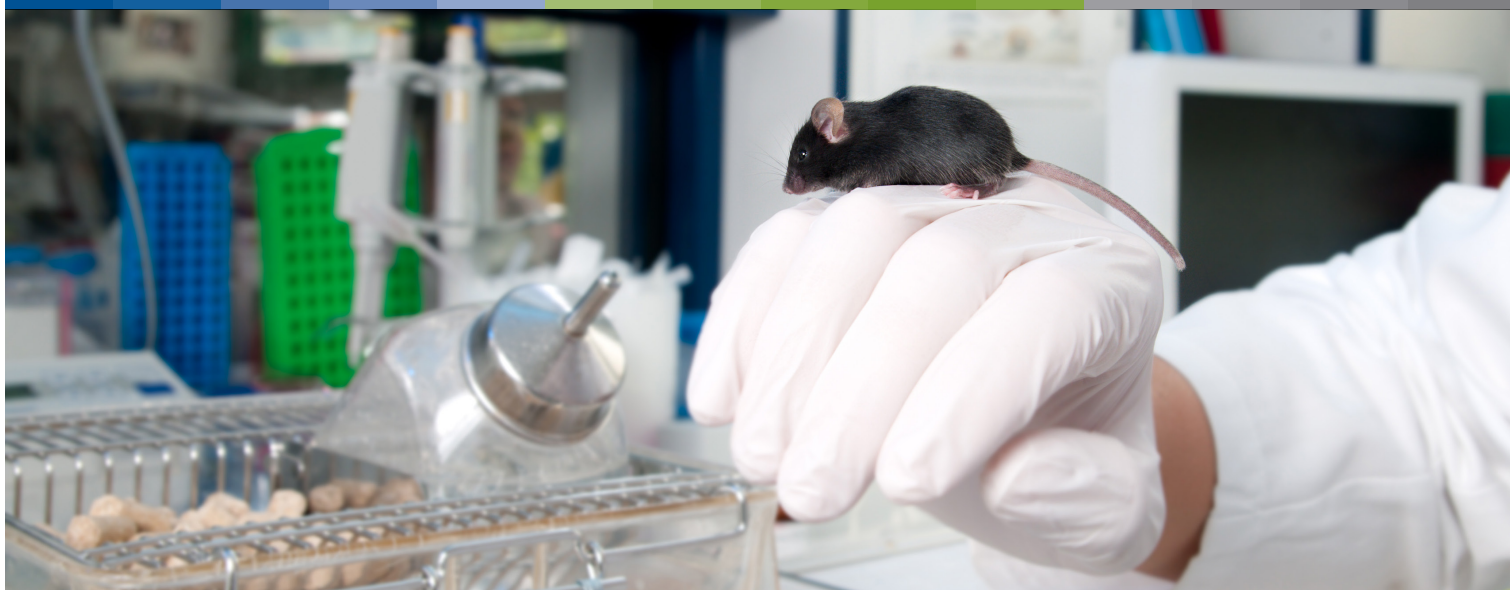


ORIP

OFFICE OF RESEARCH
INFRASTRUCTURE PROGRAMS



FACT SHEET

Spring 2017

ORIP MISSION

The Office of Research Infrastructure Programs (ORIP) advances the NIH mission by supporting research infrastructure and research-related resources programs. ORIP's programs support biomedical researchers with the infrastructure and research-related resources they require to advance medical research and continue improving human health.

SBIR/STTR

SMALL BUSINESS PROGRAMS

SMALL BUSINESS GRANTS



DIVISION OF CONSTRUCTION AND INSTRUMENTS (DCI)

DCI supports the development and implementation of technologies to directly benefit the welfare of research animals and to directly improve animal facilities that support biomedical and behavioral research.

Areas of interest include:

- Research on tools and equipment, their use to improve and ease care, and to facilitate monitoring of healthy

animals;

- Research to improve laboratory equipment to maintain environmental conditions and to maintain and improve the infrastructure of animal facilities;
- Of special importance is the employment of green technologies.

DEFINITIONS OF THE NIH SBIR AND STTR AWARDS

The **NIH SBIR program** is a set-aside program for domestic small businesses to engage in biomedical R&D that has the potential for commercialization. The **NIH STTR program** is a set-aside program to facilitate cooperative R&D between small businesses and U.S. research institutions, with the potential for commercialization.

DIFFERENCES BETWEEN SBIR AND STTR

Under the **SBIR program**, the principal investigator's (PI) primary employment must be with the small business. However, if multiple PIs are applying for a grant, the second PI need not be primarily employed by the small business. Under the **STTR program**, primary employment is not stipulated. The STTR program requires research partners at universities and other nonprofit research institutions to have a formal collaborative relationship with the small business.

STRUCTURE OF THE SMALL BUSINESS PROGRAMS

	SBIR	STTR
PHASE I	<ul style="list-style-type: none">- Establish technical merit, feasibility, and potential for commercialization.- Support may not exceed \$150,000 in total costs for six months.*	<ul style="list-style-type: none">- Support may not exceed \$150,000 in total costs for one year.*
PHASE II	<ul style="list-style-type: none">- Continue research and R&D efforts initiated in Phase I.- Funding will be based upon Phase I results and may not exceed \$1,000,000 in total costs for a two-year period.- Commercialization plan required.	
PHASE IIB	<ul style="list-style-type: none">- Commercialization stage using non-SBIR/STTR funds to pursue Phase I and II goals.	

* Deviations from the indicated Phase I/Phase II statutory award amount and project period guidelines are acceptable but must be well justified. However, the support cannot exceed 50 % of the indicated values.

